



RESPONSE TRANSMITTAL LETTER		ATTORNEY DOCKET		10392/46001																																												
SERIAL NUMBER 09/038,158	FILING DATE March 11, 1998	EXAMINER C. Chung		ART UNIT 2764																																												
INVENTION TITLE METHOD AND APPARATUS FOR ENABLING SMALLER INVESTORS OR OTHERS TO CREATE AND MANAGE A PORTFOLIO		APPLICANT WALLMAN, Steven M. H.																																														
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PATENT

Docket No. 10392-46001

Serial No. 09/038,158

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Steven M. H. WALLMAN
Serial No. : 09/038,158
Filed : 11 March 1998
For : Method and Apparatus for Enabling Smaller Investors or Others to Create and Manage a Portfolio of Securities
Art Unit : 2764
Examiner : C. Chung

Honorable Assistant Commissioner
for Patents
Washington, D.C. 20231

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RESPONSE TO OFFICE ACTION

SIR:

In response to the Office Action mailed on June 16, 2000, the three month shortened statutory period for responding to which expires on September 16, 2000, the following remarks are respectfully submitted:

REMARKS

Reconsideration of this application is respectfully requested in light of the following remarks. Claims 1 to 49 remain in this application. Claims 1, 30, 36, 44, and 47 are the independent claims.